



sector #

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Yoshinobu SUGATA
Serial No. : 09/938,273
File Date : August 23, 2001
Title : METHOD FOR MANUFACTURING A RECORDING MEDIUM
Art Unit : 1755 Examiner:
Docket No. : M1971-95

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : Nov. 20, 2001
By : Margaret L. Goldstein
Signature : *M. Goldstein*

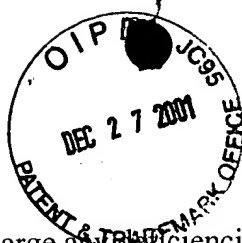
Hon. Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application dated September 26, 2001, enclosed herewith please find the following:

- * Declaration and Power of Attorney;
- * Copy of Notice to File Missing Parts of Nonprovisional Application;
- * Check for \$870 to cover the large entity filing fee (\$740) and late filed Declaration surcharge (\$130).



Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

Respectfully Submitted,

Lyman H. Smith
Reg. No. 44,342
Agent for Applicant

MORRISON LAW FIRM
145 North Fifth Avenue
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(914) 667-6755



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,273	08/23/2001	Yoshinobu Sugata	M1971-95

CONFIRMATION NO. 1193

FORMALITIES LETTER



OC000000006794891

MORRISON LAW FIRM
145 North Fifth Avenue
Mt. Vernon, NY 10550

Date Mailed: 09/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/28/2001 HTECKLU1 00000025 09938273

01 FC:101
02 FC:105

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130.00 OP